#### **UTI Asset Management Company Ltd.**





**Ref:** UTI/AMC/CS/SE/2020-21/010

Date: 21st October, 2020

National Stock Exchange of India Limited

Exchange Plaza, Plot No. C/1 G Block, Bandra-Kurla Complex, Bandra (East), Mumbai – 400051

Scrip Symbol: UTIAMC

**BSE Limited** 

Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai 400 001

Scrip Code/Symbol: 543238/UTIAMC

Sub: Reconciliation of Share Capital Audit Report for the quarter ended 30<sup>th</sup> September, 2020

Dear Sir/ Madam,

Please find enclosed herewith the Reconciliation of Share Capital Audit Report issued by a Practicing Company Secretary for the quarter ended 30<sup>th</sup> September, 2020.

This Report is submitted in Compliance with Regulation 76 of the SEBI (Depositories & Participants) Regulations, 2018.

You are requested to disseminate the above information on your respective websites.

Thanking you,

For UTI Asset Management Company Limited

**Arvind Patkar** 

**Company Secretary and Compliance Officer** 

Encl.: As above

Corporate Identity Number (CIN) U65991MH2002PLC137867, Website: www.utimf.com



Registered Office: UTI Tower, 'Gn' Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400051, Phone: 022-66786666.



## VISHAL N. MANSETA (B.Com, A. C. S.)

**Practicing Company Secretary** 

Off. No. 12, B Wing, Smital Avenue, Hatkesh Udyog Nagar Road, Near JP North,
Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780
Web : www.vishalmanseta.com Email : vishal\_manseta@rediffmail.com / vishal@vishalmanseta.com

To,
The Board of Directors,
UTI Asset Management Company Limited
UTI Tower, GN Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051.

# SUB: <u>RECONCILIATION OF SHARE CAPITAL AUDIT REPORT FOR THE QUARTER ENDED</u> SEPTEMBER 30, 2020

This is to certify that, as per the information given to us by the company, the issued and the paid up share capital of UTI Asset Management Company Limited is 12,67,87,254 equity shares of Re.10/- each.

Further, I have been informed by the company that their Registrar M\s. KFin Technologies Private Limited (formerly known as "Karvy Fintech Private Limited"), Mumbai, has furnished the following information as required by SEBI.

1.	Report for quarter ended	September 30, 2020		
2.	ISIN	INE094J01016		
3.	Face Value	Rs.10/- each		
4.	Name of the Company	UTI Asset Management Company Limited		
5.	Registered Office Address	UTI Tower, GN Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051.		
6.	Correspondence Address	UTI Tower, GN Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051.		
7.	Telephone No.	022 2268 6666		
	Fax No.	022 2652 8991		
8.	Email Address	cs@uti.co.in		
9.	Name of the Stock Exchange where	National Stock Exchange of India Limited		
	the company's Securities are listed:	BSE Limited		
		Number of Shares % of Total Issued Capital		
10.	Issued Capital	12,67,87,254		

### VISHAL N. MANSETA Practicing Company Secretary

11.	Listed Capital:							
	National Stock Exchange of India							
	Limited			12,6	7,87,254		100.00%	
	BSE Limited			12,6	7,87,254		100.00%	
12.	Held	in demat	erialised form	n in CDSL	2,60,450		0.20%	
13.	Held in dematerialised form in NSDL				12,61,87,628		99.53%	
14.	Physical					3,39,176		0.27%
15.	Total No. of Shares (12+13+14)			12,6	7,87,254		100.00%	
16.	Reasons for differences if any,				Not Applicable			
	between (10&11),(10&15),(11&15)			Not Applicable				
17.	Certifying the details of changes in share capital during the quarter under							
	consideration as per Table below:							
Partic	ular	No. of	Applied /	Listed on	Whether	Whether	In-princip	le
S		Shares	Not	Stock	intimated	intimated	approval	Pending
			Applied	Exchange	to CDSL	to NSDL	for	Stock
			for listing	(Specify			Exchange(	(s)
				name)			(Specify N	ames)
NA		NA	NA	NA	NA	NA	N.	A

18.	Register of Members is updated (Yes/No)	Yes
	If not, updated up to which date	

19. Reference of previous quarter with regard to excess dematerialized shares, if any

N.A.

20. Has the company resolved the matter mentioned in Point No. 19 above, in the current quarter? If not reason why?

N.A.

21. Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.

Total No. of demat requests	No. of	No. of shares	Reasons for
	requests		delay
Confirmed after 21 days	NIL	NIL	Not Applicable
Pending for more than 21 days	NIL	NIL	Not Applicable

22.	Name, Telephone & Fax No. of	Mr. Arvind Patkar
	Compliance Officer of the company	Company Secretary & Compliance Officer
		<b>Tel</b> : 022 2268 6666
		Fax: 022 2652 8991

### VISHAL N. MANSETA Practicing Company Secretary

23.	Name, Address, Telephone & Fax No.,	Vishal N. Manseta
	Regn. no, of the auditor	Company Secretary
		Ofc No. 12, B wing 1 <sup>st</sup> Floor, Smital Avenue,
		Miraroad (East), Mirabhayander – 401 107.
		e-mail: vishal manseta@rediffmail.com
24.	Appointment of common agency for	KFin Technologies Private Limited
	share registry work (if yes, name and	(formerly known as "Karvy Fintech Private
	address)	Limited")
		"Karvy Selenium Tower – B", Plot No. 31 &
		32, Gachibowli, Financial District,
		Nanakramguda, Serilingampally,
		Hyderabad – 500 032.
25.	Any other detail that the auditor may like to provide, (e.g. BIFR Company, delisting from Stock Exchange, company changed its name etc.)	NIL

For Vishal N. Manseta COMPANY SECRETARY



PLACE: Mumbai

DATE: October 21, 2020 UDIN: A025183B001021233 (Vishal Manseta)

M. No. : 25183 C.P.No.: 8981